

EXHIBIT B

Douglas M. Day
4741 Rollins RD.
Augusta, GA 30906
706-833-1305 Cell
dday@taxreliefservice.net

October 30, 2017

To:

Southern Electrical Retirement Fund
Attn: Compliance Department
Plan Administrator
3928 Volunteer Dr.
Chattanooga, TN 37416-3817
423-899-2593 Office
EIN 62-6125711

Att: R. Jan Jennings
Branstetter, Stranch & Jennings, PLLC
223 Rosa L. Parks Avenue Suite 200
Nashville, TN 37203
janj@bsjfirm.com
615-254-8801 Office

Sub: Douglas M. Day/SERF Plan Participant
349 Greene St.
Augusta, GA 30901
IBEW# D626823
SS# XXX-XX-7464
706-833-1305 Cell

Re: **a) 2nd REQUEST:** "Requested Documents" 1993 Lost Pension Contributions

b) NOTE: Intent to Seek Penalties Under the Statute for Failure to Comply¹

Contribution Year 1993

¹ ERISA Sec. 104(b)(4) (4) The [administrator](#) shall, upon written request of any participant or beneficiary, furnish a copy of the latest updated summary, [\[2\] plan](#) description, and the latest annual report, any terminal report, the bargaining agreement, trust agreement, contract, or other instruments under which the [plan](#) is established or operated.

Local Union 558 1803 E 17 th St. Sheffield, AL 35660 256-383-2279 office	Stone & Webster Engr. Corp. 245 Simmer St. Boston, Massachusetts 02210 FIN# 04-1875140 AL Employer ID 173791
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Dear Ms. Eddington, Plan Administrator:

Please provide this request with your Compliance Department and Representing Attorney.

On 7/15/2016 during email correspondence Ms. Eddington stated, *"Should you have any further questions or need additional information please do not hesitate to contact the Fund office"*.

On 8/11/2017 I sent my **FIRST Request**, certified/w/rr to your above referenced address, as noted below.

8-14-17_CM receipt of mailing to Southern Electrical Funds.pdf - Adobe Acrobat

File Edit View Document Comments Forms Tools Advanced Window Help

100% Find

1 / 1

8.50 x 11.00 in

AUGUSTA
525 8TH ST
AUGUSTA
GA
30901-9998
1204900605
(800)275-8777

08/14/2017 2:15 PM

Product Description	Sale Qty	Final Price
First-Class Mail Letter (Domestic)	1	\$0.49
Certified (USPS Certified Mail #)	1	\$3.35
Return Receipt (USPS Return Receipt #)	1	\$2.75
Total		\$6.59

Debit Card Remit'd
(Card Name: Debit Card)
(Account #: XXXXXXXXXX1606)
(Approval #:
(Transaction #: 688)
(Receipt #: 012206)
(Debit Card Purchase: \$6.59)
(Cash Back: \$0.00)

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CHATTANOOGA, TN 37416

Official Use

Certified Mail Fee	\$3.35
Extra Services & Fees (check box, add fee \$)	\$2.75
<input type="checkbox"/> Return Receipt (hardcopy)	\$0.00
<input type="checkbox"/> Return Receipt (electronic)	\$0.00
<input type="checkbox"/> Certified Mail Restricted Delivery	\$0.00
<input type="checkbox"/> Adult Signature Required	\$0.00
<input type="checkbox"/> Adult Signature Restricted Delivery	\$0.00
Postage	\$0.49
Total Postage and Fees	\$6.59

Sent To: Southern Electrical Retirement Fund
Street and Apt. No., or PO Box No.: 3928 Volunteer Dr.
City, State, ZIP+4®: Chattanooga, TN 37416-3817

PS Form 3800, April 2015 PSN 7530-02-000-9047 See Reverse for Instructions

7017 0660 0000 2295 3846

This letter requested production within 30 days of the date of the letter. However, although this request was delivered 8/16/2017 10:32am, this request was unanswered.

The screenshot shows the USPS Tracking Results page. At the top, there is a red alert banner about mail service in the U.S. Virgin Islands and Puerto Rico. Below that is a 'Quick Tools' section. The main heading is 'USPS Tracking® Results' with tabs for 'Tracking' and 'FAQs'. A link 'Track Another Package +' is visible. The tracking number is 70170660000022953846. A green progress bar indicates the package is 'Delivered'. Below this, it says 'On Time' and 'Expected Delivery on: Wednesday, August 16, 2017 by 8:00pm'. The 'Product & Tracking Information' section shows the postal product is 'First-Class Mail®' and features include 'Certified Mail™'. A link to see tracking for a related item is provided. A table below shows the delivery details:

DATE & TIME	STATUS OF ITEM	LOCATION
August 16, 2017, 10:32 am	Delivered, Left with Individual	CHATTANOOGA, TN 37416

A callout box states: 'Your item was delivered to an individual at the address at 10:32 am on August 16, 2017 in CHATTANOOGA, TN 37416.'

Therefore, I have provided a second request of which I am seeking penalties under the production statute if your entity does not comply.

Please forward this 2nd Request to the Fund Office” and have them provide complete copies of the following within 30 days from the date of this SECOND Request letter:

Please provide my office a reasonable charge to cover the cost of furnishing such complete copies so that I can provide payment.

Requested Documents:

- 1) “SPD booklet” (Summary Plan Description), *current edition please*
- 2) 1993 “Trust Agreement[s]” or original copy of (the agreement between the employer (Stone & Webster) and the Trustee [SERF]), which was established sometime around 1975.
- 3) 1993 or the original copy of the “Plan Document” (the document[s] that set up the plan initially drafted by Local Union 558 or a committee of their members).
- 4) 1993 or original copy of the “CBA” (Collective Bargaining Agreement, between LU 558 and the employer (Stone & Webster) FBO of the union workers.
- 5) Finally, any information on the “Claims filing Procedure”. *Current information please.*

NOTE 1: The **First Request** was sent to you by email, and the paper document was mailed Certified under ERISA Sec. 104(b)(4).

NOTE 2: This **Second Request** is being sent to you by email, and this paper document is being mailed Certified under ERISA Sec. 104(b)(4).

NOTE 3: A copy of this **Second Request** is being sent to your Attorney R. Jan Jennings, as referenced above.

Respectfully,

Douglas M. Day *EA/RIA/AAS*

Federal Tax Consultant & Registered Investment Advisor